1. **IDENTIFICATION**

Title	Enhancing respect for human rights and fundamental freedoms in countries and regions where they are most at risk		
Total cost	€11,492,917		
Method/Manageme nt mode	Project approach – Call for proposals – Centralised (direct)		
DAC-code, if applicable	15162	Sector	Human Rights and Democracy

2. RATIONALE

The EU is founded on the principles of liberty, democracy, respect for human rights and fundamental freedoms, and the rule of law. EU policy in support of democracy and human rights in third countries has been articulated and developed in Commission communications, European Parliament resolutions and Council conclusions over the years, including specific EU Guidelines on particular human rights issues. As indicated in the Treaty mandates, the objective of developing and consolidating democracy and the rule of law, and respect for human rights and fundamental freedoms is now a feature of all forms of co-operation with third countries. And in recent years many countries have moved towards more open societies, fairer electoral processes, and greater commitment on a range of human rights issues. However, many countries remain autocracies where basic freedoms are systematically repressed.

Building on its key strength, which is the ability to operate without the need for host government consent, EIDHR is able to focus on sensitive political issues and innovative approaches and to cooperate directly with local Civil Society Organisations (CSOs) which need to preserve independence from public authorities, also to be active in countries that may be described as "difficult partnerships", where geographic programmes may meet obstacles.

The EIDHR Strategy Paper 2007-2010 has identified as its Objective 1 "Enhancing respect for human rights and fundamental freedoms in countries and regions where they are most at risk". This is the objective covered by this Action Fiche.

3. DESCRIPTION OF THE CALL FOR PROPOSAL(S)

3.1. Basic act and Financing source

Regulation (EC) N° 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of

democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1), in particular its Articles 1.2.a, 2.1.(a) and (b), 6 and 10.

This Action will be financed by budget line 19 04 01, for an amount of €10,973,478 and by budget line 19 04 05, for an amount of €519,439.

3.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

The focus of this Action will be on situations where there is a serious lack of fundamental freedoms, where human security is most at risk, where human rights defenders are under most pressure, where civil society operates with difficulty and where there is little room for political pluralism. The gravity of the situation and the effectiveness of the action are to be the two key considerations for assessing and prioritising project proposals.

The overall objective of this action is to contribute to bring about positive changes in countries and regions where human rights and fundamental freedoms are most at risk.

The expected results of this Action are to have a wide range of local stakeholders and civil society organisations gathered into broad coalitions and able to act politically and, in addition, to have local populations empowered and willing to continue claiming their rights beyond the life of the project.

The results should include a mix of activities ranging from monitoring, public information, awareness-raising, capacity building and dialogue with key stakeholders.

The activities under this action should promote a holistic approach to human rights and should in these difficult countries specifically seek to improve the situation of the right to freedom of thought, conscience and religion; the right to freedom of opinion and expression, to information and to communicate, including freedom of the media, fight against censorship, and access to the internet; the right to freedom of peaceful assembly and association, including the right to form and join a trade union; and the right to freedom of movement within the borders of a state, and the right to leave any country, including one's own, and to return to one's country.

Provided the focus is on these freedoms, projects may be combined with campaigning on particular human rights issues and use other "entry points", such as social, economic and cultural issues.

3.3. Eligibility conditions

Eligible actors under this Action will be primarily civil society organisations as defined in Article 10 of Regulation (CE) 1889/2006, preferably based in the country itself, in the region or in the EU, but with no geographical restrictions. Where possible, local partner organisations should be involved, though the particular circumstances of each situation will determine how this may be done, without putting them at risk or creating further resistance to democratic reform. Local partner organisations benefiting from the projects can be organisations that have lost their legal personality for political reasons or groups of natural persons and organisations without legal personality and for which reimbursement of expenditure of activities will be eligible.

International organisations and other actors within the meaning of Article 10(1) of Regulation (EC) No 1889/2006 may also be associated especially where they can offer particular access and means of action.

In certain conditions proposed by the beneficiary, accepted by the Commission and strictly defined in the Contract, the beneficiary of the Community financing will be authorised to give financial support in the form of grants to third parties. This financial support will not be the primary aim of the Community financing and the amounts concerned will, in conformity with the Financial Regulation, be small (maximum of \in 10,000 per third party and up to a maximum total amount of \in 100,000 per Contract).

Priority will be given to activities addressing in-country situations where fundamental freedoms as identified above are the least secure, and where disrespect for human rights is particularly pronounced. These situations can be characterised by the following selected indicators:

- Limitations on the right to freedom of association (e.g. regular and widespread obstacles to registration of civil society organisations and their independent operation, forced closure of civil society organisations, and physical threats to their members);
- Limitations on the right to freedom of peaceful assembly (e.g. frequent prohibition or violent repression of peaceful protests);
- Limitations on the right to freedom of expression, to information and to communicate (e.g. regular repression of and major reprisals for criticism of public policies, obstacles to collection, publication and dissemination of information on human rights, including access to the internet; general censorship);
- Threatening and insecure environment, which seriously undermines the right to life and physical and mental integrity (e.g. extra-judicial killings, death threats, beatings, torture and ill treatment during questioning or detention);
- Limitations on the right to a fair trial and due process (e.g. regular occurrences of disregard of due process, arbitrary arrests and detention, lack of impartial tribunal and appropriate jurisdiction, restrictions on the exercise of legal defence, legal harassment on baseless charges).

Grant applications need to specify these situations to the extent possible, with reference to independent monitoring reports and external independent indicators. Such situations may be limited to a particular region or may affect only a particular group in a country, which otherwise is less repressive. The indicators shall contribute to establishing project relevance, the graver the situation, the higher the priority.

Transnational and regional activities may also be supported provided the focus is on situations as described above. Projects may also include operations "out of country" - in neighbouring countries, with the diaspora or the refugee community.

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EC external actions.

The maximum possible rate of co-financing for grants is 80%. Full financing may only be applied in the cases provided for in Article 253 of the Implementing Rules of

the Financial Regulations where financing in full is essential to carry out the action in question. This provision is of particular relevance for this action.

Grants awarded under this call are expected to fall between € 150,000 and € 1,200,000.

Partnerships as defined in the Practical Guide to contract procedures of the EC external actions will not be mandatory and the evaluation criteria for the award of a grant will be adapted accordingly.

A special observance of the requirements of confidentiality and security in the publication of the grants awarded under this objective will be followed.

3.5. Schedule of calls for proposals

The call for proposals is expected to be launched in October-November 2007 and to remain open with at least two deadlines per year for project assessment and selection. This will enable submission of proposals any time there is a window of opportunity to act in a given country/region.

3.6. Indicative amount of call for proposals

The indicative total amount for this Action is of €11,492,917 in 2007.

Any remaining balance from one Action may be reallocated to the budget of another Action, preferably within the same strategic objective.

4. SUPPORT MEASURES

Given the sensitive nature of this Action, political support and complementary action through other EU tools, such as political dialogue, may be of great importance. Close information links will therefore need to be maintained with Commission Delegations on the spot.

Monitoring missions will be carried out by external experts, as well as follow up missions by the operational staff of the Commission. The Action will also be covered by individual project evaluations or thematic evaluations.

A maximum total amount of € 507,266 from budget line 19.01.0407 is further allocated to provide the European Commission services short-term technical assistance such as support and expertise for the evaluation of Calls for proposals, studies, training, information and publications. This assistance may be used for the management of operations covered by Action Fiches 1 to 7 of the EIDHR instrument via individual assignments contracted through Specific Contracts within the context of the Framework Contract Commission.

5. IDENTIFICATION

Title	Country-Based Support Schemes - Strengthening the role of civil society in promoting human rights and democratic reform, in supporting the peaceful conciliation of group interests and in consolidating political participation and representation		
Total cost	€ 31,8 million		
Method/Manageme nt mode	Project approach - Calls for proposals managed by EC Delegations – Centralised (direct)		
DAC-code, if applicable	15162	Sector	Human rights and Democracy

6. RATIONALE

The EIDHR Strategy Paper 2007-2010 has identified as its Objective 2 "Strengthening the role of civil society in promoting human rights and democratic reform, in supporting the peaceful conciliation of group interests and in consolidating political participation and representation".

This Action Fiche defines the implementation modalities of the EIDHR Country-based support schemes (CBSS) which will be managed at country level by European Commission Delegations in third countries.

This support is a long established element of the European Union's human rights external relations policy and it is built on the experience with micro project facilities under the previous European Initiative for Democracy and Human Rights.

7. DESCRIPTION OF THE IMPLEMENTATION SCENARIOS

7.1. Basic acts and Financing sources

(a) Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1), in particular its Articles 1.2.a, 2.1. a & b, 6 and 10.

This Action will be financed by budget lines 19 04 01 for an amount of €31,8 million.

7.2. Objectives of the programme, fields of intervention/priorities of the year, expected results

The **objective** is to assist civil society in developing greater cohesion in working on human rights (political, civil, economic, social and cultural), political pluralism and democratic political participation and representation. In this regard, independent

political foundations and national parliamentary bodies will be included as eligible to achieve the objectives of the EIDHR.

Likewise, additional objectives will be to contribute to the peaceful conciliation of group interests, to fight against discrimination on any ground, and to develop equal participation of men and women in social, economic and political life, thus becoming an effective force for positive change, through cooperation among local civil society organisations and stakeholders.

Specific activities in the fields of gender equality, the rights of the child, the rights of indigenous peoples, and the rights of persons with disabilities are particularly relevant.

Four major areas of activity are envisaged, as detailed in point 33 of the Strategy Paper:

- i) the pursuit of common agendas for human rights and democratic reform
- ii) building towards consensus on disputed or controversial areas of policy
- iii) enhancing political representation and participation
- iv) enhance the inclusiveness and pluralism of civil society

Specific outcomes could cover a very wide range of topics, such as:

- i) parliamentary agreement, after concerted CSO campaign, to legislate on gender equality, on the rights for indigenous people, on the abolition of the death penalty, on prevention of torture, on new constitutional provisions for oversight of the military, on the enforcement of provisions on child labour, or on the independent composition of the electoral commission;
- ii) regular reports by a consortia of civil society bodies on the implementation of an European Neighbourhood Policy action plan; an independent detailed diagnosis of challenges to human rights and democracy, endorsed by leading civil society stakeholders (e.g. produced in advance of an African Peer Review Mechanism (APRM) mission); reports on implementation of the recommendations of EU Election Observation Missions:
- iii) broad consensus between groups with opposing interests on directions for legislation on land reform and compensation, on the terms of reference and resources for an truth and reconciliation commission; regular dialogues established between CSOs divided on religious or ethnic grounds and some common activities launched;
- iv) multiparty agreement and draft legislation formulated, after CSO dialogues, for women quotas on party lists; party platforms include commitments to make changes in the penal code; creation of an ombudsman; combating discrimination on any grounds; greater decentralisation;
- v) New CSOs formed, membership developed and activities begun by persons with disabilities; AIDS orphans organise and play an active role in CSO umbrella body; special women's officer and women's section created within main trade union, liaising with women NGOs and the media; campaigns for promotion of anti-discrimination legislation launched.

7.3. Implementation scenario and eligibility conditions

Country-based support schemes (CBSS): managed at country level by European Commission Delegations, building on the experience with micro project facilities under the previous European Initiative for Democracy and Human Rights. Therefore, each EC Delegation will be asked to define the most appropriate objectives within the thematic areas detailed under <u>Point 33</u> of the EIDHR Strategy Paper and the eligibility criteria as well, and to elaborate the guidelines for local the calls for proposals.

Actors will be primarily civil society organisations with no *a priori* geographical restrictions. Given the nature of the objectives, however, it is expected that the focus will be on in-country civil society organisations, which may wish to cooperate with regional, European-based or other organisations and national public-sector institutions¹. Projects will preferably be based on partnerships of civil society organisations or, where justified, may require the close involvement of a range of incountry organisations and stakeholders. Civil society organisations include independent political foundations. National parliamentary bodies are included as eligible partners when this is necessary to achieve the objectives of the EIDHR.

In certain conditions proposed by the beneficiary, accepted by the Commission and strictly defined in the Contract, the beneficiary of the Community financing will be authorised to give financial support in the form of grants to third parties. This financial support will not be the primary aim of the Community financing and the amounts concerned will, in conformity with the Financial Regulation, be small (maximum of \leq 10,000 per third party and up to a maximum total amount of \leq 100,000 per Contract).

The **geographical focus** will be on countries where:

- there is a certain context within civil society allowing for the development and activities of civil society organisations (including human rights and democracy advocacy bodies), but where the latter may be without much organisational capacity, influence or cohesion; **and**
- there is a well-founded need for more effective action by civil society organisations in the field of human rights and democratisation whereby civil society can become a sustainable force for positive change and reform; **or**
- it is a specific priority established on the basis of EU policy considerations.

Some countries will start the programme in 2007, while others will start only in 2008. An **indicative list of regions, countries and allocations** qualifying for country-based support schemes **to be launched in 2007** is provided hereafter, taking into account Annexes I and II to the EIDHR Strategy Paper 2007-2010 as well as the result of the consultation of all the Delegations on the situation in the country. Complete list of countries targeted for 2007 - 2008 is listed in Annex II of the Strategy Paper.

Western Balkans and Candidate countries

EN EN

National public-sector institutions include National Human Rights Institutions

1	Albania	€600,000
2	Bosnia and Herzegovina	€1,200,000
3	Serbia	€600,000
4	Kosovo (UNSCR 1244)	€900,000
5	Croatia	€1,200,000
6	Turkey	€600,000
7	Former Yugoslav Republic of Macedonia	€600,000

Total by region: €5,700,000

European Neighbourhood and Partnership Instrument and Middle East countries

8	Ukraine	€600,000
9	Moldova	€300,000
10	Armenia	€600,000
11	Azerbaijan	€900,000
12	Georgia	€900,000
13	Russia	€1,200,000
14	Lebanon	€600,000
15	Israel	€900,000
16	West Bank and Gaza	€900,000
17	Jordan	€900,000
18	Morocco	€900,000

Total by region: €8,700,000

Central and Latin American countries

19	Guatemala	€600,000
20	Mexico	€600,000
21	El Salvador	€600,000
22	Bolivia	€600,000
23	Ecuador	€600,000
24	Peru	€600,000

25	Vanaguala	£600 000
25	Venezuela	€600,000
26	Brazil	€600,000
	Total by region: €4,800,000	
Afric	can, Caribbean and Pacific countries	
27	Angola	€900,000
29	DRC	€600,000
30	Mozambique	€ 300,000
31	Nigeria	€900,000
32	Rwanda	€900,000
33	Sierra Leone	€600,000
34	Uganda	€600,000
35	Zimbabwe	€900,000
36	Haiti	€900,000
	Total by region: €6,600,000	
Asia	Total by region: €6,600,000 n and Central Asian countries	
Asia:		€600,000
	n and Central Asian countries	€600,000 €600,000
37	n and Central Asian countries Afghanistan	
37 38	n and Central Asian countries Afghanistan Bangladesh	€600,000
37 38 39	n and Central Asian countries Afghanistan Bangladesh Cambodia	€600,000 €600,000
37 38 39 40	n and Central Asian countries Afghanistan Bangladesh Cambodia Indonesia	€600,000 €600,000
37 38 39 40 41	n and Central Asian countries Afghanistan Bangladesh Cambodia Indonesia Laos	€600,000 €600,000 €300,000
37 38 39 40 41 42	n and Central Asian countries Afghanistan Bangladesh Cambodia Indonesia Laos Nepal	€600,000 €600,000 €600,000 €300,000
37 38 39 40 41 42 43	n and Central Asian countries Afghanistan Bangladesh Cambodia Indonesia Laos Nepal Pakistan	€600,000 €600,000 €300,000 €600,000 €600,000
37 38 39 40 41 42 43 44	n and Central Asian countries Afghanistan Bangladesh Cambodia Indonesia Laos Nepal Pakistan Sri Lanka	€600,000 €600,000 €300,000 €600,000 €600,000
37 38 39 40 41 42 43 44 45	n and Central Asian countries Afghanistan Bangladesh Cambodia Indonesia Laos Nepal Pakistan Sri Lanka Vietnam	€600,000 €600,000 €300,000 €600,000 €600,000 €300,000

EN EN

Total by region: €6,000,000

7.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EC external actions. The maximum possible rate of co-financing for grants is 80%. Full financing may only be applied in the cases provided for in Article 253 of the Implementing Rules of the Financial Regulations where financing in full is essential to carry out the action in question.

Grants awarded under the CBSS are expected to fall between € 10,000 and € 300,000.

Partnerships as defined in the Practical Guide to contract procedures of the EC external actions will not be mandatory for all countries and the evaluation criteria for the award of a grant will be adapted accordingly.

7.5. Schedule of implementation

Calls for proposals are expected to be launched during the fourth quarter of 2007 or the first quarter 2008.

7.6. Indicative amount

The indicative total amount for 2007 is of €31,8 million.

Any remaining balance from one country allocation may be reallocated to the allocation of another country, preferably within the same region. Any remaining balance from one Action may be reallocated to the budget of another Action, preferably within the same strategic objective.

8. SUPPORT MEASURES

Commission Delegations will be able to spend up to 3% of the respective annual operational country allocation for support measures accompanying the implementation of country-based support schemes (activities in the field of communication, capacity-building, meetings with local organisations, etc.).

As regards monitoring and evaluation costs, they will be paid from distinct funds. Administrative credits will be used for such activities.

Monitoring missions will be carried out by external experts, as well as follow up missions by the operational staff of the Commission. The Action will also be covered by individual project evaluations or thematic evaluations.

A maximum total amount of € 507,266 from budget line 19.01.0407 is further allocated to provide the European Commission services short-term technical assistance such as support and expertise for the evaluation of Calls for proposals, studies, training, information and publications. This assistance may be used for the management of operations covered by Action Fiches 1 to 7 of the EIDHR instrument via individual assignments contracted through Specific Contracts within the context of the Framework Contract Commission.

9. **IDENTIFICATION**

Title	Human Rights Network EU-China		
Total cost	€1,5 million		
Method/ Management mode	Project approach – Call for proposals – Centralised (direct)		
DAC-code, if applicable	15162	Sector	Human Rights and Democracy

10. RATIONALE

The EIDHR Strategy Paper 2007-2010 has identified as its objective 3 "Supporting actions on human rights and democracy issues in areas covered by EU Guidelines, including on human rights dialogues, on human rights defenders, on the death penalty, on torture, and on children and armed conflict". This Action Fiche defines the implementation modalities of the EIDHR support in the area of human rights dialogue, in particular the EU-China dialogue.

The purpose of the Action is to enhance the activities and impact of a Human Rights Network, mainly by organising EU-China Human Rights dialogue seminars and possibly other complementary activities. These seminars should strengthen EU's human rights commitment in China, as well as strengthening democratisation, good governance and the rule of law.

In 1994, the EU accepted the Chinese proposal to enter into an EU-China dialogue on human rights at expert level. Since then, the human rights dialogue has been taking place usually twice a year (except in 1996), the modalities of implementation evolving through the years.

Since 1998, the EU has engaged in a dialogue seminar among academia and civil society on political and human rights matters in support of the official EU-China Human Rights Dialogue, immediately preceding this last one, hitherto. The official Seminars, co-hosted by the EU Presidency and the European Commission, aim to:

- open up the official human rights dialogue to the European and Chinese academic and NGO communities and create a space for non-confrontational discussions at experts' level;
- follow up on some of the discussions of the official dialogue in a more indepth manner and encourage experts to feed the agenda of the dialogue with new topics, approaches and emerging issues;
- expose Chinese academics and civil society representatives to international human rights standards and EU practices.

In 2001, it was decided to institutionalise further the organisation of the EU-China human rights dialogue seminars by setting up through the European Initiative for Democracy and Human Rights, an EU-China Network (15 European human rights institutions and universities partnered with 15 Chinese universities, co-ordinated by the Law Institute of the Chinese Academy of Social Sciences) for the Ratification and Implementation of UN Human Rights Covenants. The network was asked to organise the regular EU-China human rights dialogue seminars as well as restricted academic seminars and training activities on topics of concern to the EU-China human rights dialogue.

The methodology was changed in 2005, some weaknesses of the network having been identified, and the regular EU-China Human Rights Dialogue Seminars were then organised through the Commission framework contract. Two events per year took place since then. While the last Seminar organized in Berlin 10-11 May 2007 was called off at the last minute by the Chinese delegation, the Chinese have since expressed strong interest in continuing futures seminars. It was then decided that, in order to facilitate a smoother and more continuous work during the year, the seminars could be more successfully organised by an academic network.

It should be ensured that the seminars arranged by the new Human Rights Network will learn lessons from the past experiences, will immediately precede the official EU-China Human Rights Dialogues and that the purpose is to come up with joint recommendations, which feed into the discussions at the official political Dialogue.

11. DESCRIPTION OF THE CALL FOR PROPOSALS

11.1. Basic act and Financing source

Regulation (EC) N° 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1), in particular its Articles 1.2.a, 2.1.(a) and (b), 6 and 10.

This Action will be financed by budget line 19 04 01, for an amount of €1,500,000.

11.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

The objective is to strengthen the realization of human rights in China in line with the EU's human rights policy and also to strengthen democratisation, good governance and the rule of law.

The objective will be achieved through support provided by a Human Rights academic network to organise the EU-China Human Rights dialogue seminars. The seminars should bring together European and Chinese human rights academics and civil society organisations. If need be, the Network can be called on to participate in other relevant EU-China Human Rights events as well.

The principal aim of the seminars is to contribute to the EU-China human rights dialogue through open, professional discussions at expert level that will help to enrich the official dialogue. It will aim at providing an opportunity for dialogue between

European and Chinese academics and civil society on human rights topics and on how to enhance their application. The seminar should produce concrete joint recommendations to the European Commission and the EU Presidency regarding topics to be discussed at the official dialogue, the follow up of some of the issues previously discussed at the official dialogue and ways of improving the implementation of concrete human rights issues.

The Human Rights Network of academic institutions to be selected through a call for proposals will organise EU-China Human Rights seminars before the Human Rights Dialogues and ensure that joint recommendations are fed into the discussions at the Dialogue. The grant is expected to cover six (6) HR dialogue seminars.

11.3. Eligibility conditions

Eligible applicants are higher education institutions specialised in Human Rights issues, with a permanent pool of Human Rights experts available. The lead applicant must be a European higher education institution. The consortium should preferably consist of a minimum number (to be agreed in due course) of European and Chinese higher education institutions.

11.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EC external actions.

Full financing is essential to carry out this particular action and the project is in the Community's interest. As provided for in Article 253 of the Implementing Rules of the Financial Regulations, maximum rate of financing for this action is 100%.

11.5. Schedule of calls for proposals

Indicative schedule:

- Call for proposals to be launched in November 2007.
- Award of contract in June 2008.

11.6. Indicative amount of call for proposals

The indicative total amount for this Action is of $\leq 1,500,000$ in 2007.

Any remaining balance from one Action may be reallocated to the budget of another Action, preferably within the same strategic objective.

12. SUPPORT MEASURES

Given the sensitive nature of this Action, political support and complementary action through other EU tools will be of great importance. Close information links will therefore need to be maintained with the Commission Delegation to China.

The Human Rights Network will ensure the visibility of the European Commission in line with the applicable General Conditions.

Monitoring missions will be carried out by external experts, as well as follow up missions by the operational staff of the Commission. The Action can also be covered by project or thematic evaluations.

A maximum total amount of € 507,266 from budget line 19.01.0407 is further allocated to provide the European Commission services short-term technical assistance such as support and expertise for the evaluation of Calls for proposals, studies, training, information and publications. This assistance may be used for the management of operations covered by Action Fiches 1 to 7 of the EIDHR instrument via individual assignments contracted through Specific Contracts within the context of the Framework Contract Commission.

13. **IDENTIFICATION**

Title	Support to Human	Rights Defenders	
Total cost	€8.2 million		
Method/ Management mode	(a) Project approach – Call for proposals – <i>Centralised (direct)</i> (b) Direct award of small grants – Centralised management		
DAC-code, if applicable	15162	Sector	Human Rights and Democracy

14. RATIONALE

The EIDHR Strategy Paper 2007-2010 has identified as its objective 3 "Supporting actions on human rights and democracy issues in areas covered by EU Guidelines, including on human rights dialogues, on human rights defenders, on the death penalty, on torture, and on children and armed conflict". This Action Fiche defines the implementation modalities of the EIDHR support to Human Rights Defenders.

The Support for Human Rights Defenders is a long established element of the European Union's human rights external relations policy. The Council adopted the EU Guidelines on Human Rights Defenders in June 2004 and made a first review of its implementation in June 2006, which stressed among other recommendations that the EU should ensure that support provided to Human Rights Defenders takes into account their specific financial and protection needs as well as the urgency to address these needs, including to consider increasing funds to periodically finance projects.

Periodical structured coordination will be ensured at central and local levels between the Commission, Member States and all the specialised NGOs which will be providing support in order to develop synergies, to guarantee complementarity and to avoid duplication.

15. DESCRIPTION OF THE CALL FOR PROPOSAL(S)

15.1. Basic act and Financing source

- (a) Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1), in particular its Articles 1.2.a, 2.1.b(ii), 6, 9 and 10.
- (b) Pilot project "European Emergency Judicial Assistance" within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), as amended by Regulation (EC, Euratom) No 1995/2006 (OJ L 390, 30.12.2006, p. 1).

This Action will be financed by budget lines 19 04 01 for an amount of ≤ 8 million and 21 04 04 for an amount of $\leq 200,000$.

15.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

The EU Guidelines on Human Rights Defenders provide the general framework for assistance to human rights defenders under this Action. The focus will be on human rights defenders as defined by Article 1 of the UN Declaration on the Right and Responsibility of Individuals, Groups and Organs of Society to Promote and Protect Universally Recognized Human Rights and Fundamental Freedoms. Human rights defenders are considered not only as natural persons but also as groups of natural persons without a legal personality and civil society organisations.

The assistance to be provided on the basis of this Action aims at a longer term support as well as a timely reaction to situations of urgency for protection of human rights defenders; the latter comprises also the implementation of Article 9.1 of the Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on Ad hoc Measures. A broad range of assistance measures is envisaged, from financial assistance to and securing physical integrity of a human rights defender and her/his immediate family to accompanying registration procedures of civil society organisations or securing the right to a fair trial and due process according to international standards.

Longer term support will mostly aim at strengthening the status of human rights defenders and their fundamental rights, in particular the right to a fair trial and due process of law according to international standards, as well as supporting their activities such as documenting violations, seeking remedies for victims of such violations, or combating cultures of impunity which serve to cloak systematic and repeated breaches of human rights and fundamental freedoms.

Eligible activities will include, among others, maintaining permanent emergency response services (including regional focal points) and relocation capacity, family support of human rights defenders at risk, capacity building, training in home and office security, stress management, accompaniment of human rights defenders when threatened, producing reports and networking. Activities such as monitoring and advocacy on the situation of human rights defenders as well as strengthening national and international human rights defenders protection mechanisms will also be eligible.

Expected results of this action are increased international recognition of human rights defenders activities and rights, strengthened status and capacity of human rights defenders organisations and increased availability of assistance, including financial support, for human rights defenders in urgent protection needs.

15.3. Eligibility conditions

(1) Call for Proposals

Applicants will be international civil society organisations specialised in support to and protection of human rights defenders, with world or regional coverage on the basis of partnerships and formal or informal networks of regional and/or local civil society organisations. Local partner organisations of these networks can be organisations that have lost their legal personality for political or other related

reasons or groups of natural persons and organisations without legal personality and for which reimbursement of expenditure of activities will be eligible.

In certain conditions proposed by the beneficiary, accepted by the Commission and strictly defined in the Agreement, including for the purpose of implementing of Article 9.1 of Regulation (EC) No 1889/2006 on Ad hoc Measures, the beneficiary of the Community financing will be authorised to give financial support in the form of grants to third parties, including individual human rights defenders. This form of financial support will not be the primary aim of the Community financing and the amounts concerned will, in conformity with the Financial Regulation, be small (maximum of \in 10,000 per third party and up to a maximum total amount of \in 100,000 per contract).

(2) Direct award of small grants

The Commission will keep moreover a fund to be managed centrally, allowing the direct ad-hoc award of small grants to human rights defenders on the basis of a centralised political decision and validation process, in response to urgent protection needs in conformity with Article 9.1 of the Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 and Article 110.1 of the Financial Regulation.

15.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EC external actions.

The maximum possible rate of co-financing for grants is 80%. Full financing may only be applied in the cases provided for in Article 253 of the Implementing Rules of the Financial Regulations where financing in full is essential to carry out the action in question. Grants awarded under this call are expected to fall between €300,000 and €2,000,000. Small grants in the form of Ad hoc Measures are expected not to exceed €10,000.

Partnerships as defined in the Practical Guide to contract procedures of the EC external actions will not be mandatory and the evaluation criteria for the award of a grant will be adapted accordingly.

15.5. Schedule of calls for proposals

The call for proposals is expected to be launched in October-November 2007.

15.6. Indicative amount of call for proposals

Indicative amount for the Call for Proposals: €8,000,000.

Indicative amount for the centralised fund for small ad-hoc grants: €200,000.

Any remaining balance from the Call for proposals under this Action may be reallocated to the centralised fund for small ad-hoc grants and vice-versa. Any remaining balance from one Action may be reallocated to the budget of another Action, preferably within the same strategic objective.

16. SUPPORT MEASURES

Support measures will include a launching conference in 2008, followed by annual conferences. These conferences will be organised by the Commission and would have as participants the beneficiary organisations and individual human rights defenders supported under the EIDHR provided their security would not be at risk. Members of the European Parliament, representatives of the Member States and of the media would also be invited. An annual report on support to and protection of Human Rights Defenders by the European Union is expected to be published from 2009 on and presented at the annual conferences. The production of a leaflet and of a film or animation will also be considered for 2008.

Public statements and/or press releases by the Commissioner, when appropriate and if security allows, informing the public about support to a given human rights defender in the framework of an action financed by the European Union will also be envisaged.

Monitoring missions will be carried out by external experts, as well as follow up missions by the operational staff of the Commission. The Action will also be covered by individual project evaluations or thematic evaluations.

A maximum total amount of € 507,266 from budget line 19.01.0407 is further allocated to provide the European Commission services short-term technical assistance such as support and expertise for the evaluation of Calls for proposals, studies, training, information and publications. This assistance may be used for the management of operations covered by Action Fiches 1 to 7 of the EIDHR instrument via individual assignments contracted through Specific Contracts within the context of the Commission Framework Contract.

17. IDENTIFICATION

Title	Support to Human Rights and Democracy actions on Torture and other forms of ill-treatment		
Total cost	€22 million		
Method/Manageme nt mode	Project approach - Call for proposals – <i>Centralised management (direct)</i>		
DAC-code, if applicable	15162	Sector	Human Rights and Democracy

18. RATIONALE

The EIDHR Strategy Paper 2007-2010 has identified as its objective 3 "Supporting actions on human rights and democracy issues in areas covered by EU Guidelines, including on human rights dialogues, on human rights defenders, on the death penalty, on torture, and on children and armed conflict". This Action Fiche defines the implementation modalities of the EIDHR support in the area of torture and other forms of ill-treatment.

Torture and other cruel, inhuman or degrading treatment or punishment are among the most abhorrent violations of human rights and human dignity. To work towards the prevention and the eradication of all forms of torture and ill-treatment worldwide is a strong policy view of all EU Member States. In this context, the General Affairs Council, on 9th April 2001, adopted the Guidelines to EU Policy towards third countries on Torture and other cruel, inhuman or degrading treatment or punishment. Apart from the political dialogue, bilateral and multilateral cooperation, the Guidelines stress on the prohibition and condemnation of torture and ill-treatment, the ratification and implementation of the United Nations Convention Against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment (CAT) and the Optional Protocol to the CAT, combating impunity, providing reparation and rehabilitation for victims, providing effective training, supporting the work of medical professionals or conducting autopsies.

Regular monitoring and periodic reporting by the EU Heads of Missions is foreseen to enhance the implementation of the Guidelines, and to evaluate the effect and impact of EU actions in this field.

19. DESCRIPTION OF THE CALL FOR PROPOSAL(S)

19.1. Basic act and Financing source

Regulation (EC) N° 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of

democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1), in particular its Articles 1.2.a, 2.1.b(i), 2.3, 6, 9 and 10.

This Action will be financed by budget line 19 04 01, for an amount of €22 million.

19.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

The **Guidelines on EU policy towards third countries on torture** provide the general framework for this Action Fiche. The Action will cover distinctly two kinds of activities, reflected in two distinct "lots" of the call for proposals: Prevention of Torture (Lot 1), and Rehabilitation of Torture Victims (Lot 2).

Prevention of Torture (Lot 1).

Particular focus will be made on the ratification and effective implementation of all aspects of the CAT, as well as the Optional Protocol to the CAT. Support will be given to actions based on an integrated approach to the fight against torture and other forms of ill-treatment, which contribute directly to preventing or reducing its prevalence. The actions should contribute to fighting against impunity, and supporting the rule of law for all. Women, children, persons belonging to minorities, and indigenous peoples should be given a particular attention.

Where relevant, activities may be linked to a post-conflict agenda of justice and reconciliation or campaign against a culture of violence, in view of reinforcing the effectiveness of actions against torture and to build a broader coalition of civil society actors.

Eligible activities will include, amongst others, lobbying and sensitization actions, training, awareness campaigns, monitoring of the production and dissemination of equipment dedicated to torture, assistance to persons at risk of being tortured, including legal advice. Some activities related to legal redress, considered as "rehabilitation" actions, could also be envisaged, and the corresponding costs could be considered as eligible within the scope of these activities.

The expected results of this action may consist of a reduced prevalence of torture, an increased reporting of torture, better conditions for providing incidence of torture, clearer guidelines for security forces, increased investigations or cases of perpetrators brought to court and sentences, more effective monitoring of torture, ratification and implementation of the CAT.

Rehabilitation of Torture Victims (Lot 2).

The Action will support rehabilitation activities aiming at restoring the victim's right to remedy and reparation, and which take an integrated approach encompassing elements of medical and social rehabilitation as well as of legal redress. Attention should be paid to special needs of women and children. Activities should also contribute to strengthening the monitoring of the prevalence of torture, in particular by providing data that can be used in prevention activities. In view of this, part of the total cost of each proposal could be reserved to prevention activities.

The activities covered by the Action should seek to strengthen local professional capacity and networking and to enhance the sustainability of local services' capacity.

Rehabilitation activities in the EU Member States, which are eligible when directly related to situations arising in third countries, should act as a catalyst to develop a greater financial commitment by Member States and candidate countries in this area.

Eligible activities will include, amongst others, mental and physical rehabilitation of direct or indirect torture victims, providing assistance to the victims in obtaining reparation, training, awareness and sensitization.

The expected results of this Action may include an increase in the level of effective rehabilitation, restoring of the victim's rights and reparation, and a more sustainable funding to rehabilitation activities, in particular by EU Member States.

19.3. Eligibility conditions

There is no specific **geographical prioritisation**. Assistance for **rehabilitation of torture victims** may take place in any third country covered by the EIDHR and also in the EU when directly related to situations arising in third countries.

Actors will be preferably civil society organisations based in the EU, the region or the country concerned.

19.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EC external actions.

The maximum possible rate of co-financing for grants is 80%. Full financing may only be applied in the cases provided for in Article 253 of the Implementing Rules of the Financial Regulations where financing in full is essential to carry out the action in question. Grants awarded under this call are expected to fall between $\leq 200,000$ and $\leq 1,500,000$.

Core funding may also be applied, but only for rehabilitation centres of torture victims if this is deemed essential to carry out their activities.

Partnerships as defined in the Practical Guide to contract procedures of the EC external actions will not be mandatory and the evaluation criteria for the award of a grant will be adapted accordingly. However, for the rehabilitation activities taking place within the EU, partnership with local organisations from third countries are strongly encouraged, in order to strengthen local professional capacity and networking.

19.5. Schedule of calls for proposals

The call for proposals is expected to be launched in October-November 2007.

19.6. Indicative amount of call for proposals

The indicative total amount for this Action is of ≤ 22 million in 2007. The distribution of this budget between the two lots is tentatively defined at 30% for Lot 1, and 70% for Lot 2.

Any remaining balance from one Lot may be reallocated preferably to the other Lot under this Action. Any remaining balance from one Action may be reallocated to the budget of another Action, preferably within the same strategic objective.

20. SUPPORT MEASURES

A global evaluation mission, covering the activities funded during the last five years, through the European Initiative for Democracy and Human Rights in the area of torture prevention and rehabilitation of torture victims, worldwide, is to be launched soon. This evaluation will focus on lessons learnt, methodologies applied, and impact of actions. It will also contain comments and recommendations. The final report will be posted on our website, and should provide help for the implementation of the activities foreseen under the present Action.

Monitoring missions, to be carried out by external experts, will be intensified, as well as follow up missions by the operational staff of the Commission.

A maximum total amount of € 507,266 from budget line 19.01.0407 is further allocated to provide the European Commission services short-term technical assistance such as support and expertise for the evaluation of Calls for proposals, studies, training, information and publications. This assistance may be used for the management of operations covered by Action Fiches 1 to 7 of the EIDHR instrument via individual assignments contracted through Specific Contracts within the context of the Commission Framework Contract.

21. IDENTIFICATION

Title	Support to global civil society campaigns designed to ensure the effective functioning of the International Criminal Court (ICC)		
Total cost	€4 million		
Method/Manageme nt mode	Project approach - Call for proposals – Centralised management (<i>direct</i>)		
DAC-code, if applicable	15162	Sector	Human rights and Democracy

22. RATIONALE

The EIDHR Strategy Paper 2007-2010 has identified as a priority under objective 4 the assistance to "international criminal justice mechanisms, such as the ICC and the ICTY. This includes civil society campaigns at a global level and coalition-building on international justice issues."

The EIDHR will, on the basis of calls for proposals, maintain support for global civil society campaigns designed to ensure the effective functioning of the International Criminal Court in its formative years.

This Action Fiche defines the implementation modalities of the EIDHR support in this area.

23. DESCRIPTION OF THE CALL FOR PROPOSAL(S)

23.1. Basic act and Financing source

Regulation (EC) N° 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1), in particular its Articles 1.2.b and 2.1.c.

This Action will be financed by budget line 19 04 01, for an amount of €4 million.

23.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

The general aim is to strengthen the international framework for the protection of human rights, justice and the rule of law, and the promotion of democracy in accordance with EU policy priorities.

In particular, this call for proposals aims to maintain support for global civil society campaigns designed to ensure the effective functioning of the International Criminal Court in its formative years. Examples of priorities under this call for proposals are:

- Promotion of the universality and full implementation of the Rome Statute;
- Support to the preparation and the adoption of national legislations of implementation of the Rome Statute;
- Implementation of the complementarity principle between the ICC and the national judicial systems; etc.

The expected results should take the form of reinforced international frameworks for the protection of human rights and the rule of law, to be measured through a rise in ratification and implementation of instruments, greater skills amongst professionals working on international criminal justice, and greater public awareness and appreciation. Specific indicators will be detailed in each individual project.

23.3. Eligibility conditions

This call will select global projects. Therefore, there is no specific geographical prioritisation. Applicants will preferably be international civil society organisations specialised in this field.

23.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EC external actions.

The maximum possible rate of co-financing for grants is 80%. Full financing may only be applied in the cases provided for in Article 253 of the Implementing Rules of the Financial Regulations where financing in full is essential to carry out the action in question. Grants awarded under this call are expected to fall between €500,000 and €1,500,000.

23.5. Schedule of calls for proposals

The call for proposals is expected to be launched in October-November 2007.

23.6. Indicative amount of call for proposals

The indicative total amount for this Action is of €4 million.

Any remaining balance from one Action may be reallocated to the budget of another Action, preferably within the same strategic objective.

24. SUPPORT MEASURES

A global external evaluation, covering the activities funded along the last five years through the European Initiative for Democracy and Human Rights in the area of international criminal justice, worldwide, is expected to be launched soon. This evaluation will focus on lessons learnt, methodologies applied, and impact of actions. It will also contain comments and recommendations. The final report will be posted

on our Website, and should provide help for the implementation of the activities foreseen under the present Action.

Monitoring missions, to be carried out by external experts, will be intensified, as well as follow up missions by the operational staff of the Commission.

A maximum total amount of € 507,266 from budget line 19.01.0407 is further allocated to provide the European Commission services short-term technical assistance such as support and expertise for the evaluation of Calls for proposals, studies, training, information and publications. This assistance may be used for the management of operations covered by Action Fiches 1 to 7 of the EIDHR instrument via individual assignments contracted through Specific Contracts within the context of the Framework Contract Commission.

25. IDENTIFICATION

Title	Support to Master's Degree Programmes in Human Rights and Democratisation outside the European Union		
Total cost	€5.2 million		
Method/Manageme nt mode	Project approach - Call for proposals – Centralised management (direct)		
DAC-code, if applicable	15162	Sector	Human Rights and Democracy

26. RATIONALE

The EIDHR Strategy Paper 2007-2010 has identified as one of the priorities under objective 4 the assistance to "regional networks for the training of specialists in the application of international human rights instruments."

In particular "support to Master's Degree Programmes in Human Rights and Democratisation outside the European Union may continue on the basis of calls for proposals".

This Action Fiche defines the implementation modalities of the EIDHR support in this area.

27. DESCRIPTION OF THE CALL FOR PROPOSAL(S)

27.1. Basic act and Financing source

Regulation (EC) N° 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1), in particular its Articles 1.2. and 2.1.b.ix).

This Action will be financed by budget line 19 04 01, for an amount of €5.2 million.

27.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

The general aim is to strengthen the international frameworks for the protection of human rights, justice and the rule of law, and the promotion of democracy in accordance with EU policy priorities.

In particular, this call for proposals aims to maintain support to Master's Degree Programmes in Human Rights and Democratisation outside the European Union.

These are programmes of higher education and training for professionals active in the field of human rights and democratisation and for those whose professional activity has an impact on this domain. Supporting the European Masters' Degree in Human Rights and Democratisation (EMA), through a separate operating grant, and regional Masters Degree in Human Rights and Democratisation in South-East Europe, the Mediterranean, Africa, Asia and Latin America will be particularly relevant in that respect. Networking and cooperation are to be be strengthened between the different Masters degrees supported under the EIDHR (through regular meetings, exchange of staff and students, joint publications, harmonisation of the academic content of the courses) while overall academic coherence should be ensured through the European Masters Programme based in Venice, which inspired these regional Masters Programmes.

The general outcomes of the EIDHR Strategy Paper 2007-2010, objective 4, should take the form of reinforced international frameworks for the protection of human rights and the rule of law, to be measured through a rise in ratification and implementation of instruments, greater skills amongst professionals working on international criminal justice, and greater public awareness and appreciation. Specific indicators will be detailed in each individual project.

27.3. Eligibility conditions

This call will select regional projects. In order to be eligible for a grant, applicants must be a non-profit-making legal person and be or belong to a higher education institution. The applicant may be located in a third country or within the EU.

27.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EC external actions.

The maximum possible rate of co-financing for grants is 80%. Full financing may only be applied in the cases provided for in Article 253 of the Implementing Rules of the Financial Regulations where financing in full is essential to carry out the action in question. Grants awarded under this call are expected to fall between $\leq 400,000$ and $\leq 1,500,000$.

27.5. Schedule of calls for proposals

The call for proposals is expected to be launched in October-November 2007.

27.6. Indicative amount of call for proposals

The indicative total amount for this Action is of €5.2 million.

Any remaining balance from one Action may be reallocated to the budget of another Action, preferably within the same strategic objective.

28. SUPPORT MEASURES

Monitoring missions, to be carried out by external experts, will be intensified, as well as follow up missions by the operational staff of the Commission.

A maximum total amount of € 507,266 from budget line 19.01.0407 is further allocated to provide the European Commission services short-term technical assistance such as support and expertise for the evaluation of Calls for proposals, studies, training, information and publications. This assistance may be used for the management of operations covered by Action Fiches 1 to 7 of the EIDHR instrument via individual assignments contracted through Specific Contracts within the context of the Commission Framework Contract.

29. IDENTIFICATION

Title	Support to the Un Human Rights	nited Nations High	Commissioner for
Total cost	Maximum EC contribution - €4 million		
Aid method / Management mode	Operating contribution - Joint management		
DAC-code	15162	Sector	Human Rights and Democracy

30. RATIONALE

30.1. Sector context

The UN Office of the High Commissioner for Human Rights (OHCHR)'s Plan of Action, which was released in May 2005, presented an overall vision for the future direction of the Office. This 2008-2009 Strategic Management Plan details the means by which it aims to work with Member States to continue putting this vision into operation. The Strategic Plan provides a comprehensive overview of the areas of work on which OHCHR will focus during 2008-2009 and the resources that will be required.

This Strategic Plan is based on OHCHR's fundamental goals - to protect human rights and empower all people to realize those rights - and is driven by the need to identify and address critical human rights problems in the world today, namely: poverty, discrimination, conflict, impunity, democratic deficits, and institutional weaknesses. The Plan acknowledges the magnitude of the challenges and the need to prioritize the OHCHR's activities so that limited resources can be used effectively. In it, the Plan elaborates on its five focus areas: developing effective, appropriate strategies for country engagement; exercising leadership within the field of human rights; strengthening partnerships; providing high-calibre support to the United Nations human rights programme; and strengthening the management of OHCHR.

Like its predecessor, this Strategic Management Plan is a biennial plan, designed to cover the biennial budget cycle of the United Nations Secretariat and to provide a comprehensive picture of its objectives, activities, and requirements irrespective of funding sources. By adopting a two-year cycle, not only for activities funded from the regular budget but for all the work, the OHCHR can plan more effectively and enter into longer term agreements with its partners. This Plan is also results based and assumes a cross-branch, one-office approach to planning and implementing activities.

The Regulation (EC) No.1889/2006 of The European Parliament and of the Council on establishing a financing instrument for the promotion of democracy and human rights worldwide (EIDHR), adopted in December 2006, once again confirms the high priority accorded by the European Union to strengthening the Office of the

UN High Commissioner for Human Rights and it states in its Article 13.1.d) that Community financing may consist in grants to support its operating costs.

The EIDHR Strategy Paper 2007-2010 includes an annual contribution to support operations of the OHCHR and under its Objective 4 says that specific contributions based on Article 13(1) of EIDHR Regulation (EC) No. 1889/2006 to the UN High Commissioner for Human Rights of up to €4 million annually will be implemented.

30.2. Lessons learnt

The European Commission is a regular donor to the OHCHR. In 2004 its commitments amounted to EUR 4.8 million, EUR 3.1 million in 2005 and EUR 3.0 million in 2006. On the other hand, there has been previous support to the Special Procedures, the Treaty Bodies mechanisms, special rapporteurs, gender and antidiscrimination programmes and to their field activities.

30.3. Complementary actions

This project does not in any way represent the only EIDHR support to the rights and activities covered by the 2008-2009 OHCHR Strategic Management Plan. Proposals for projects specifically targeting some of these rights may be presented by other organisations under EIDHR calls for proposals to be launched during the present and following years.

30.4. Donor coordination

In 2006 the donors to the OHCHR included 62 governments, several NGOs and foundations and some private donors. Although resources to support OHCHR have increased over the last years, funding does not match the proposed budget. The OHCHR must, therefore, complement its requirements with extra budgetary sources. The OHCHR Strategic Management Plan needs the full support of donors and encourages them to provide funds that are either lightly earmarked or not assigned to a particular activity.

31. DESCRIPTION

31.1. Objectives

Within the framework of the OHCHR Strategic Management Plan, the EIDHR support relates to specific objectives such as: -Enhancing the High Commissioner's global leadership, further strengthening the New York Office, broadening partnerships, and building management capacity with the aim of ensuring more responsive engagement in the protection of human rights; -Support to Human Rights Bodies and Organs; -Support to Human Rights Thematic Fact-Finding Procedures (Special Procedures); -Human Rights Mainstreaming, Right to Development, Research and Analysis; -The expansion of geographic desks and the rationalization and expansion of deployment of human rights staff to regional and country offices; -Support to the Programmes.

31.2. Expected results and main activities

(a) Greater country engagement through an expansion of geographic desks, increased deployment of human rights staff to countries and regions, the establishment of standing capacities for rapid deployment, investigations, field support, human rights

capacity building, advice and assistance, and work on transitional justice and the rule of law;

- (b) An enhanced human rights leadership role of the High Commissioner, including through greater interaction with relevant United Nations bodies and actors and regular system wide human rights consultations, a reinforced New York presence, an annual thematic human rights report, a global campaign for human rights, and more involvement in efforts to advance poverty reduction and the Millennium Development Goals:
- (c) Closer partnerships with civil society and United Nations agencies through the establishment of a civil society support function, support for human rights defenders, stepped up commitment to Action 2 activities for rights-based approaches and national protection systems, and human rights guidance to the resident coordinator system;
- (d) More synergy in the relationship between OHCHR and the various United Nations human rights bodies, an intergovernmental meeting to consider options for a unified standing human rights treaty body, including consideration of the possible relocation of the Committee on the Elimination of Discrimination against Women to Geneva, and a review of the special procedures; and
- (e) Strengthened management and planning for OHCHR through the establishment of a policy, planning, monitoring, and evaluation unit, significantly increased staffing levels, staff diversity initiatives, updated staff training, a staff field rotation policy, and new administrative procedures.

31.3. Stakeholders

Governments, legislatures, courts, national institutions, civil society, regional and international organizations and the United Nations System.

31.4. Risks and assumptions

- The implementation of the activities can be affected by the situation prevailing in countries and regions.
- Political crisis, insecurity, lack of commitment.
- Lack of co-ordination between the OHCHR Geneva and its partners.
- Partners should have the capacity to deliver in time, including capacity of civil society actors for sustained follow-up of activities.

31.5. Crosscutting Issues

The OHCHR directly targets the protection and promotion of all human rights for all people. Mainstreaming issues identified in the EIDHR Strategy are not only taken into account by the OHCHR, but are also the subject of specific activities: children, women, gender discrimination, people with disabilities, indigenous peoples, and minorities.

32. IMPLEMENTATION ISSUES

32.1. Implementation method

The implementation method will be Joint Management through the signature of a standard contribution agreement with the OHCHR. The OHCHR is covered by the Financial and Administrative Framework Agreement signed between the EC and the UN on 29 April 2003.

32.2. Procurement and grant award procedures

All contracts implementing the action must be awarded and implemented in accordance with the procedures and standard documents as laid down and published by the International Organisation concerned.

32.3. Budget and calendar

The OHCHR's resource requirements for 2008-2009 are estimated at US\$ 285,6 million. Of which, US\$ 105,6 million will be funded from the United Nations regular budget. Accordingly, the High Commissioner is seeking US\$ 180 million from voluntary contributions to meet OHCHR's planned needs in the next biennium.

EC maximum contribution is €4 million – Annual contribution (1st January 2008 to 31st December 2008) to support OHCHR's operations. The Contribution Agreement is expected to be signed in December 2007.

This Action will be financed by budget line 19 04 01.

Any remaining balance from this action may be reallocated to the budget of another action, preferably within the same strategic objective.

32.4. Performance monitoring

An important part of the strategic planning and management system is monitoring and evaluation. A performance monitoring system and an evaluation policy will be developed. The objective is to ensure that lessons learned are translated into the design and implementation of projects and programmes. The implementation of the Strategic Management Plan is regularly monitored with the aim of determining relevance, efficiency, effectiveness, and impact in relation to established priorities. Significant departures from the Plan will be analyzed and adjustments made.

32.5. Evaluation and audit

The OHCHR is developing an evaluation policy which will include country-engagement results. Moving the focus from the project level to the programmatic, thematic, and strategic levels, the OHCHR is working towards an evaluation culture built around the needs of users and the impact on rights holders. Evaluation serves as a tool for learning and for enhancing performance. Regular audits of the OHCHR are undertaken by their independent external auditor.

32.6. Communication and visibility

The OHCHR proposes to implement a tailored Visibility Strategy designed to raise the awareness of European audiences of human rights in general, the work of the OHCHR in particular and the support being provided to both by the EC. The strategy, which will be part of the Contribution Agreement, includes a variety of high visibility initiatives and events, a number of which would be directly funded by the EC contribution and which will only proceed if the requested EC contribution is approved.

33. **IDENTIFICATION**

Title	Strengthening the International Criminal Court – enhancing its universality and increasing awareness on the national level with regard to complementarity		
Total cost	Estimated total cost: €2,5 million EC maximum contribution: €2 million		
Aid method / Management mode	Joint management		
DAC-code	15162	Sector	Human Rights and Democracy

34. RATIONALE

34.1. Sector context

The current project builds upon the successful implementation over a three years period of the Internship and Visiting Professionals Programmes of the International Criminal Court. The current project keeps the structure of the previous years, meaning it continues to run the Internship and Visiting Professionals Programmes, however it adapts them to respond to the multiple challenges the ICC faces in its judicial phase.

The first years of the project assisted the Court in its mostly operational phase, with the commencement of the judicial activities (confirmed charges brought by the Prosecutor against Thomas Lubanga Dyillo in the case The Prosecutor vs. Thomas Lubanga Dyillo – situation Democratic Republic of the Congo; issuance of arrest warrants with respect to the five top leaders of the Lord Resistance Army - situation of Uganda) The current project dwells on the importance of reinforcing cooperation with States Parties, enhancing and maximising Court's communication world wide as well as its outreach towards the affected communities in the countries of situation; continuing the reinforcement of national capacities in order to advance their development in line with the spirit of the **Rome Statute**. The project will also contribute to further developing the universal character of the Court by allowing the participation to the workings of the Court to nationals from all over the world.

The success of the Court depends on receiving effective cooperation from States. Without arrest and surrender there will be no trails. Therefore, the network of contacts built by the Court through the Internship and Visiting Professional Programme becomes crucial. The Court will continue to rely upon those resources when conducting various activities, while there is a need to develop a specialised network which can assist it in this new phase.

The Regulation (EC) No.1889/2006 of The European Parliament and of the Council on establishing a financing instrument for the promotion of democracy and human rights worldwide, adopted in December 2006, once again confirms the high priority accorded by the European Union to promoting and strengthening the International Criminal Court.

34.2. Lessons learnt

The EC's support to the international criminal justice system, including the support to the ICC, will be the objective of an evaluation exercise expected to be launched still in 2007 and implemented in 2008.

34.3. Complementary actions

Previous projects with the ICC:

- <u>Programme strengthening the International Criminal Court and increasing awareness on the national level, particularly as regards complementarity and the rights of victims, EUR 747,418.76 (2003)</u>
- -Strengthening the ICC through complementary, EUR 1.760.175 (2004)
- -Strengthening the International Criminal Court enhancing its universality and increasing awareness on the national level with regard to complementarity, EUR 796,983.94 (2006)

Several projects implemented by NGOs are on-going. 7 projects were selected through a call for proposal in 2006. This call focused upon the following priorities: Support to the effective functioning of international criminal ad hoc tribunals; Promotion of the universality of the Rome Statute2; Support to the preparation and the adoption of national legislations of implementation of the Rome Statute; Implementation of the complementarity principle between the ICC and the national judicial systems.

A new call with similar priorities will be launched during the second half of 2007.

34.4. Donor coordination

The EU will be the main donor for this action (around 80%). Other donors will be Norway, Switzerland and Canada.

35. DESCRIPTION

35.1. Objectives

For an effective and efficient Court, it is of the utmost importance to implement, at the national level, the principle of complementarity. Therefore, the International Criminal Court has set up the Internship and Visiting Professional Programmes as tools through which the Court strives to ensure the effective and efficient functioning of the principle of complementarity at the national level. This aim has to be supported not only by logistical and human resources to be made available, but also by a long-term commitment from the actors involved in this important undertaking. In this way, the Court can contribute towards a continuous and coherent implementation process of the principle of complementarity. This has become even more relevant as the Court has

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² Rome Statute of the International Criminal Court: http://www.un.org/law/icc

moved further in its judicial activities and its field operations are on going in 4 situations (Uganda, Central African Republic, Sudan/Darfur and Democratic Republic of the Congo). In addition, it is expected that the project will increase worldwide, to a large extent, the knowledge about the Court, its judicial activities and fundamental values. The project also represents an integral part of the Court's communications plan. It is also expected that the project strengthens the cooperation dimension of the Court with States Parties.

Specific objectives are to consolidate the effective implementation of the principle of complementarity and the universal nature of the ICC.

35.2. Expected results and main activities

Expected results: a) Increased percentage of interns and visiting professionals from under-represented regions in the previous years of the programme such as Africa, Asia, Eastern Europe and Latin America and Caribbean; b)Balanced number of female and male participants in the Programmes; c) Increased number of interns and visiting professionals originating for current countries of Situation (Uganda, the Central African Republic, the Democratic Republic of Congo and Darfur region) or future countries of situation; d) Increased number of interns and visiting professionals from countries currently under-represented amongst staff members of the ICC.

Envisaged effects and benefits: a) Knowledgeable and sound national judicial systems and national mechanisms; b) Strengthened local advocacy in support structures for the ICC; c)Fully functional principle of complementarity; d) More nationalities working at the ICC, thus consolidating its universal nature; e) Increased number of ratifications and/or acceptance of the Rome Statute.

Improvements and changes: a) Strengthened international criminal justice system; b) Increased knowledge about the ICC mandate and its judicial proceedings, in particular in the countries where it is located; c) Increased support for the ICC's activities in the countries where currently the Court's support is low.

Main activities: The activities to be carried out for the 150 interns and visiting professionals (100 interns and 50 visiting professionals) are: a) Advertising the Internship and Visiting professionals Programmes in order to get as wide geographic applications as possible; Involve national authorities b) selection/approval/reference of the visiting professionals; c) Receive the applications from the prospective interns/visiting professionals by the Court; d) Make available office space and IT equipment upon the arrival of interns and visiting professionals at the Court; e) Organise an induction for the interns and professionals; f) Offer during the Programmes interesting educative courses that could benefit the participants; g) Use the evaluation mechanisms developed in the first years to asses their work at the Court; h) Use the follow-up mechanisms to ensure that the participants in the programme have best used the knowledge acquired during their stay at the Court.

35.3. Stakeholders

The Internship and Visiting Professionals Programme targets young people in the final stages of their studies and professionals who have already embarked on a career at a

national level. Ultimately, the beneficiaries will be the national judiciaries, local and central authorities, NGOs, academia in both States Parties and Non-States Parties.

35.4. Risks and assumptions

The project requires individuals within Sates Parties and Non-States Parties to continue applying for the Internship and Visiting Professionals Programmes and for States Parties' Administrations to continue allowing successful applicants to be released from their work for the duration of the visiting professional programme.

Co-operation from States, in particular from States Parties civil services (e.g. in arranging for temporary leaves of absence, actively circulating information about the Programme), would assist the programmes by increasing the quality and number of applications. In addition, widely publicizing the Programmes by Sates Parties as well as the Centres of learning across the world represents added value to the Court's efforts.

While it is expected that these programmes will have a long-term effect of increasing knowledge and support for the ICC within governments and national authorities, the Court will not require Programme participants to return to their home states nor to take up in their countries positions favourable to the ICC. Therefore, a certain risk might continue to persist.

35.5. Crosscutting Issues

The statistics of the previous years of the Programme demonstrate that gradually, the female participation in the Programme increased.

Part of the prospective interns and visiting professionals will continue to be directly involved in substantiating the gender related provisions of the Rome Statute, translating them into viable internal policies and rules.

36. IMPLEMENTATION ISSUES

36.1. Implementation method

The implementation method will be Joint Management with the International Criminal Court through the signature of a standard contribution agreement with an international organisation as laid down in the Practical Guide to contract procedures for EC external actions.

36.2. Procurement and grant award procedures

All contracts implementing the action must be awarded and implemented in accordance with the procedures and standard documents laid down and published by the International Organisation concerned.

36.3. Budget and calendar

Estimated total cost: €2,5 million

EC maximum contribution: €2 million

This Action will be financed by budget line 19 04 01.

The activities will start in 2008 with an indicative initial duration of 24 months.

Any remaining balance from this action may be reallocated to the budget of another action, preferably within the same strategic objective.

36.4. Performance monitoring

During the implementation of the first years of the project evaluation mechanisms have been put in place such as guidelines for appraisal of all participants in the Programmes, evaluation forms, existing questionnaires that have provided relevant information for the statistics prepared regularly (e.g. geographical representation, gender, performance). These instruments have been essential in evaluating the Programmes, offering information with respect to follow up measures needed to improve the management of the Programmes. They will be relied upon in evaluating the implementation of the current project as well.

36.5. Evaluation and audit

Regular audits of the ICC are undertaken by its external auditor.

The EC's support to the international criminal justice system, including the support to the ICC, will be the object of an evaluation exercise expected to be launched still in 2007 and implemented in 2008.

36.6. Communication and visibility

While implementing the Programme, the visibility of the European Commission's contribution will be ensured using the following means: ICC Website, Network of the former interns/visiting professionals, Regular publications of the Court and Annual performance report (the performance report will underscore the importance of the European Commission towards the strengthening of the international criminal justice system and of the Court's contribution in particular).

37. **IDENTIFICATION**

Title	Communicating Justice and Capacity Building Project		
Total cost	Estimated total cost: €770,000		
	EC maximum contribution: €600,000		
Aid method / Management mode	Joint management		
DAC-code	15162	Sector	Human Rights and Democracy

38. RATIONALE

38.1. Sector context

The Special Court for Sierra Leone is the first example of an international tribunal established in the country where the crimes under its jurisdiction were committed. The success of the Court is thus strictly connected to the Court's capacity to convey to the people of Sierra Leone how justice was pursued by the international community and the Government of Sierra Leone through the establishment of the Court, and to ensure that this investment will have a lasting impact.

During the course of 2008, judgments will be rendered that deal with specific issues in international justice such as the recruitment of child soldiers and forced marriage. Additionally, the trial of Charles Taylor will continue. The precedent setting work of the Court must be effectively communicated to the people of Sierra Leone and the sub-region.

As the Court approaches the completion of its trials, it is responding to increased demands to leave behind a legacy in terms of capacity building, especially in view of the poor state of the national judicial system. While the Court itself does not have the mandate or the funding to undertake any direct activities with regards to those more developmental needs, it can contribute through specialised training of local staff and by ensuring that its records and archives will be left behind for future generations to use. The archives of the Court can be used for the training of national legal practitioners as well as leave a legacy for the entire population and increase knowledge of legal practitioners

38.2. Lessons learnt

The European Commission has funded the Victims Justice and Legacy Project of the Special Court for Sierra Leone since 2004. This project will evolve into the present project entitled the Communicating Justice and Capacity Building Project for the 2008 calendar year, to continue many of the same activities, such as the Grassroots

Awareness Raising Campaign, the National Interns and Legal Associates Programme and the Archives Development Programme.

The Grassroots Awareness Raising Campaign is the heart and soul of the project. The objective of the campaign is to communicate the work of the Court and its broader objectives of promoting the rule of law and human rights to the populace of Sierra Leone and the sub-region. In 2008 and onwards, the Grassroots Awareness Campaign will focus a great deal of its activities and efforts on the sub-region- this is unlike any other previous year.

Regarding the National Interns and Legal Associates Programme, the Special Court has modified this component of the project to include only Sierra Leoneans in the programme as well as to include mid-career professionals rather than recent graduates. By doing so, the Court seeks to build the capacity of Sierra Leoneans in the justice sector. Additionally, interns will have an opportunity to go to The Hague and work with staff on the Taylor trial

In a recent nation-wide survey conducted by Fourah Bay College in Sierra Leone, and funded by the European Commission, of the 10,000 people interviewed, 91% strongly agreed the SCSL contributed to peace building in Sierra Leone and 88% stated that the establishment of the SCSL was the best way to address the crimes committed during the war.

38.3. Complementary actions

The project constitutes a continuation of the three projects funded by the European Commission. The first project began in January 2004 and ended in March 2005. The currently on-going project began in January 2007 and will end in December 2008. This project seeks to continue to build on some of the activities of the other three projects in order to ensure a lasting legacy as well as sustainability in Sierra Leone and the region.

The EC support to the Special Court for Sierra Leone responds to the financial needs of the Special Court which relies mostly on voluntary contributions from the international community.

38.4. Donor coordination

An internal Management Committee has been established to assist the Secretary General in obtaining adequate funding and to provide advice and policy direction on all non-judicial aspects of the operation of the Court including the question of efficiency and to perform other functions as agreed by interested states. The Management Committee consists of important contributors to the Special Court. The Government of Sierra Leone and the Secretary General also participate in the management committee. Members on the Committee were appointed by the Group of Interested States (GIS) on the basis of an expression of interest by consensus.

39. DESCRIPTION

39.1. Objectives

The overall objective of the Communicating Justice and Capacity Building Project is to communicate the importance of the Court's work and its broader objectives of promoting the rule of law, international humanitarian law and human rights in Sierra Leone and the sub-region as well as to build the capacity of Sierra Leonean professionals in the justice sector. The other main objective of the project is to inform the people of Sierra Leone and the sub-region about the Taylor trial in The Hague.

The project aims at granting the broadest possible access to, and participation in, the work of the SCSL by Sierra Leoneans.

39.2. Expected results and main activities

Expected results

- The public has a comprehensive understanding of the judicial process and mandate of the Court
- The public has a sentiment of ownership of the process.
- Timely and accurate information dissemination.
- Improved court archiving and document management system
- Promotion of human rights and the rule of law.
- Increased capacity of legal interns and qualified legal professionals from Sierra Leone to work on international criminal law issues.

Activities

- A) Grassroots Awareness Raising Campaign. The Grassroots Awareness Raising Campaign will conduct a number of activities in Sierra Leone, and to some extent, in Liberia and Guinea. Activities include:
- Town hall meetings and roundtable discussions
- Strengthening and initiating Accountability Now Clubs in Secondary and Tertiary Institutions in Sierra Leone
- Training Sessions for all sectors of the Sierra Leonean and sub-regional population such as women, children, religious groups, the military, victims groups, excombatant groups, etc on topics pertaining to international humanitarian law.
- Video Screening in all provinces of Sierra Leone and Liberia.
- Television Programming
- Strengthening Network and Partnership Programmes- building up and maintaining relationships with civil society organizations. Offering support to civil society organizations.

- Design and Printing of Promotional Materials/Training Manuals
- Visits to The Hague by Civil Society Members
- B) National Interns and Legal Associates Programme will provide internships to recent graduates and mid-career Sierra Leonean professionals in all sectors of the Court- Chambers, Prosecution and Registry. The interns and associates will be engaged in substantive activities such as the drafting of judgments, etc for a period of approximately 3-6 months each. Interns and legal associates will have the opportunity to go to The Hague.
- C) The Archives Development Programme will employ two archivists to conduct a survey of the records; design a records classification scheme; compile a records and retention schedule; put in place an electronic records management system; and provide training to Sierra Leonean staff members on methods of archiving and document management. Additionally, a study will be commissioned on how to make the records and archives accessible to the people of Sierra Leone and determine where the records can be housed, both nationally and internationally.

39.3. Stakeholders

The Grassroots Awareness Raising Campaign is aimed at civil society in Sierra Leone, and to a limited extent in the sub-region, and includes victims, amputees, excombatants (including the military), women and children. The programme will also directly involve NGO representatives, government representatives, traditional leaders and religious leaders across the provinces. Building and maintaining relationships with all sectors of Sierra Leonean and Liberian civil society will ensure the sustainability of the activities.

The National Interns and Legal Associates Programme directly benefits students and qualified professionals from Sierra Leone.

The Archives Development Programme directly benefits Sierra Leoneans and the world-at-large, as well as Court staff.

39.4. Risks and assumptions

a) Grassroots Awareness Campaign

Risks include: 1) The inability to reach isolated sections of the population. 2) Hardened views amongst the public about the work of the Court, particularly the trial of Charles Taylor being in The Hague rather than in Sierra Leone. 3) In Liberia, supporters of Charles Taylor may try to obstruct outreach activities.

In order to mitigate the risks, the Outreach Section will develop strong partnerships with civil society members in Sierra Leone and Liberia. With regards to Liberia, activities will mainly be conducted by civil society partners rather than the Court. By involving civil society organizations and all sectors of the population, this will ensure the sustainability of all efforts.

b) National Interns and Legal Associates Programme

Risks: 1) Insufficient number of qualified applicants. To address this risk, the Court will advertise for the positions in multiple sources. Efforts will be made to encourage mid-career professionals to join the Court. By building the capacity of individuals in the justice sector, it ensures the sustainability of the action.

c) Archives Development Project

Risks: 1) Ensuring that those documents that need to remain confidential are kept confidential, even after the closure of the Court; 2) Ensuring that the documents are made accessible to the people of Sierra Leone. In order to mitigate the risks, a study will be commissioned in order to ensure the accessibility of the archives to the people of Sierra Leone, the sub-region and the world-at-large.

39.5. Crosscutting Issues

The project seeks to promote gender equality, good governance and human rights. As mentioned previously, the Grassroots Awareness Raising Campaign will communicate the work of the Court and its broader objectives of promoting the rule of law and human rights in Sierra Leone and the sub-region. Additionally, the Outreach Section will work very closely with women's organizations. Gender equality will be promoted in all activities.

40. IMPLEMENTATION ISSUES

40.1. Implementation method

The implementation method will be Joint Management with the Special Court for Sierra Leone through the signature of a standard contribution agreement with an international organisation as laid down in the Practical Guide to contract procedures for EC external actions.

40.2. Procurement and grant award procedures

All contracts implementing the action must be awarded and implemented in accordance with the procedures and standard documents laid down and published by the International Organisation concerned.

40.3. Budget and calendar

Estimated total cost €770,000.

EC maximum contribution €600,000

This Action will be financed by budget line 19 04 01.

The activities will start in 2008 and are foreseen to end in 2010. The project will have an indicative initial duration of 24 months.

Any remaining balance from this action may be reallocated to the budget of another action, preferably within the same strategic objective.

40.4. Performance monitoring

The Project Officer in the Immediate Office of the Registrar, in close co-ordination with the Budget Office, will monitor the implementation of the individual programmes. Integration of individual evaluation results will be steered by the Project Officer through a mid-term evaluation exercise and a final evaluation exercise aimed at determining the fulfilment of objectives, the impact and sustainability of the individual programmes, and taking into account how the interaction between sections has focussed the above overall criteria.

Throughout the life of the Communicating Justice and Capacity Building Project, the Project Officer will ensure that the following procedures are put in place in order to facilitate an assessment of the Project in terms of consistency with the plan of action and attainment of the planned objectives: (1) progress report meetings are held periodically, (2) regular consultations among all relevant sections are held during implementation.

The Project Officer will hold periodical meetings with the EC Delegation in Free Town. These meetings will take place at the start of the implementation and at regular intervals of six months. In addition, the Project Officer will facilitate on-site visits of the resident EC representatives to the Court and meetings with the individual programme officers to discuss the stages of implementation and to verify results.

Additional external evaluation mechanisms foreseen are: Reports by international organisations, e.g. Amnesty International, Human Rights Watch, No Peace Without Justice and the International Centre for Transitional Justice and reports by national NGOs such as PRIDE, Campaign for Good Governance and others; opinion polls, surveys conducted by local and international NGOs; statistics collected by NGOs and UN agencies; local and international media coverage.

40.5. Evaluation and audit

Reports will be made available to the European Commission by the Special Court. The Court will also liaise closely with the Delegation Office.

Evaluation of the accounts of the Court will be conducted by both internal and external auditors.

The EC's support to the international criminal justice system, including the support to the Special Court for Sierra Leone, will be the object of an evaluation exercise expected to be launched still in 2007 and implemented in 2008.

40.6. Communication and visibility

The Special Court will ensure that all EC funded activities are made visible and are publicized in all activities and publications.

41. **IDENTIFICATION**

Title	2007 Joint Programme with the Council of Europe		
Total cost	Estimated total cost: €4 million EC maximum contribution: €2 million		
Aid method / Management mode	Joint Management		
DAC-code	15162	Sector	Human Rights and Democracy

42. RATIONALE

42.1. Sector context

The EIDHR Strategy Paper 2007-2010, under its Objective 4, includes the support to joint programmes dealt with by the Council of Europe. In seeking to achieve greater unity between the states of Europe through respect for the shared values of pluralist democracy, the rule of law and human rights and fundamental freedoms, thus promoting democratic stability, the experience and contribution of the Council of Europe to the EIDHR, through its standards and instruments for the protection of the rights of individuals and the enhancement of democratic awareness, have always been valuable in past joint activities between EC and the Council of Europe.

42.2. Lessons learnt

The European Commission is regularly supporting joint management programmes with the Council of Europe, within the framework of a strategic partnership. This strategic partnership has again been recalled in the recently signed Memorandum of Understanding between the EU and the CoE.

Monitoring exercises of previous joint programmes between the EC and the CoE moreover pointed out that "areas addressed by these projects are relevant and important". Activities implemented with success in the same domains in other regions, inter alia the Balkans, under the Council of Europe's competences prove worth reproducing in other countries, based on the experience already gained.

42.3. Complementary actions

Descriptions of activities foreseen for projects in the region have been thoroughly consulted in order to create synergies and to avoid any duplication of actions. Full complementarity with these projects shall be sought.

43. DESCRIPTION

43.1. Objectives

The activities are mainly focussed on Ukraine, Moldova and South Caucasus countries but could be extended to another country, partner of the CoE, in the region, if cross border actions are deemed fruitful for the success of the programmes.

- 1). **Action 1 -** The action's ultimate objective is to provide assistance in conducting the elections in line with the international standards on the matter, particularly in Armenia, Azerbaijan, Georgia (in 2008) and Moldova (in 2009) where major elections are to take place.
- 2). **Action 2 -** The action aims to achieve a visible and lasting change in the media of the target countries. It aims to increase the professionalism of journalists and ensure their safety, to ensure the effective functioning of independent broadcasting regulation and of a real public service broadcaster.
- 3). Action 3 The action is designed to provide emerging young leaders from civil society in the target countries with the skills and contacts they need to facilitate democratic change in the region.
- 4). Action 4 The action seeks to bring mainly Ukrainian and Moldovan legislation and practice into conformity with the Council of Europe recommendations and conventions. It will enable the transfer of expertise from EU neighbouring or EU accession countries where projects in the field of Roma policies were already implemented with some success and could feed fruitful exchanges of best practices.
- 5). **Action 5** The project proposal aims at the setting up an active network of independent non-judicial human rights structures (NHRSs, ie ombudsmen and human rights commissions at nation-wide and sub nation-wide levels) that help avoid, put an end to or compensate for human rights violations in their countries.

43.2. Expected results and main activities

The <u>main activities</u> of the projects proposals include, but are not limited to, the description below:

- 1. Reform of electoral legislation and practices, Capacity-building programmes for electoral administration, Media aspects of the electoral campaign, Citizens' participation in elections and civil society involvement in supervision of elections.
- 2. Promotion of freedom of assembly, freedom of expression, freedom of the media, freedom of information, Improvement of working methods of journalists and the media environment, developing and strengthening the professional and ethical standards for the media, Revision of media related laws and development of appropriate policy, Increasing the protection of journalists, Insuring financial and editorial independence.
- 3. Establishment and broadening of a viable network of young civil society leaders and new mechanism of communication between them, especially in the zones of frozen conflicts, initiatives to improve democratic, social and economic conditions.

- 4. Actions in favour of Roma minorities: strategy, inter-ministerial commission, awareness-raising, fight against discrimination, etc.
- 5. Defence of human rights compliant (draft) legislation; Network of national human rights commissions as well as ombudsmen (Paris principles); Information from national human rights structures; Training of specialists within the institutions for the non-judicial protection

43.3. Stakeholders

Target Beneficiaries are, inter alia:

- National and local authorities, electoral administration, judiciary,
- Law-enforcement bodies, regulatory authorities,
- Civil society as a whole (observers, NGOs, academics, lawyers, media professionals, young leaders),
- National and local politicians working with civil society,
- Independent ombudsmen and human rights commissions,
- Roma minorities.

43.4. Risks and assumptions

Lack of genuine commitment to conduct free and fair elections;

Lack of willingness of the authorities to cooperate;

Lack of political support from the national authorities;

Lack of qualified candidates: in some countries some qualified candidates may be hesitant or directly be prohibited to participate for political reasons;

Diversity of experience and viewpoints: participants and trainers have to represent diversity of views and experiences;

Negative repercussions of existing political tensions between some countries: special attention to be paid to the influence of frozen conflicts.

43.5. Crosscutting Issues

Women will be particularly targeted under participatory issues and media.

Improvement of living conditions and particularly the education of young Roma girls.

Focus on better housing, healthy environment and education for a better future, as well as integration into society for children living in miserable settlements.

Protection of children from harmful content, in the new information and communications environment.

44. IMPLEMENTATION ISSUES

44.1. Implementation method

Joint management through the signature of standard contribution agreement(s) with an international organisation, e.g. the Council of Europe, covered by the Framework Administrative agreement on the application of the financial checks' clause to operations administered by the Council of Europe and financed or co-financed by the European Community, signed on 6 July 2004.

44.2. Procurement and grant award procedures

All contracts implementing the action must be awarded and implemented in accordance with the procedures and standard documents laid down and published by the International Organisation concerned.

44.3. Budget and calendar

Estimated total cost and indicative maximum allocations, per action as listed above (in €):

1). 1	1,000,000 –	EC max. contribution:	500,000
2). 1	1,000,000 –	EC max. contribution:	500,000
3).	700,000 –	EC max. contribution:	350,000
4).	400,000 -	EC max. Contribution:	200,000
5).	900,000 -	EC max. Contribution:	450,000

This Action will be financed by budget line 19 04 01.

Activities are expected to start on 1 January 2008. The duration of the activities is initially estimated to be 24 months.

Any remaining balance from this action may be reallocated to the budget of another action, preferably within the same strategic objective.

4.4. Performance monitoring

The actions will be monitored according to standard procedures.

Project monitoring and evaluation will be based on periodic assessment of progress on delivery of specified project results and towards achievement of project objectives.

Particular attention will be given to the log frames of the actions which will be regularly revised, taking into account the various changes of and adaptations to the local context.

Overview of key indicators measuring progress:

Electoral complaints and appeal procedure are more efficient and transparent.

Media monitoring is organised.

Number of TV spots, printed materials increases.

Signature and ratification of the European Convention on Transfrontier Television (ECTT) Legislation in the media field is reviewed.

Non human rights compliant legislation is dropped or amended.

4.5. Evaluation and audit

The actions will be evaluated and audited according to standard procedures.

4.6. Communication and visibility

Visibility of EU funded action will be ensured according to the EU Visibility Guidelines.

45. **IDENTIFICATION**

Title		E/ODIHR project nd Human Rights i : Belarus, Moldova, U	_
Total cost	Estimated total cost: €770,000 EC maximum contribution: €600,000		
Aid method / Management mode	Joint Management		
DAC-code	15162	Sector	Human Rights and Democracy

46. RATIONALE

46.1. Sector context

The EIDHR Strategy Paper 2007-2010, under its Objective 4, includes the support to joint programmes dealt with by the OSCE/ODIHR. OSCE/ODIHR's mandate is to develop and implement a broad range of programmes aimed at protecting human rights, strengthening democratic institutions, respecting the rule of law, promoting democratic electoral processes, combating intolerance and discrimination, and fostering civil society in all participating States. The experience and contribution of OSCE/ODIHR have always been valuable in the past joint activities between E.C and this organisation, especially, but not only, in the three countries concerned by this project.

46.2. Lessons learnt

The European Commission is regularly supporting joint management programmes with the OSCE/ODIHR.

Taking into consideration that the OSCE/ODIHR actions are "to assist beneficiary countries in the implementation of the OSCE Human Dimensions commitments", the monitoring exercises of previous joint programmes between the EC and the OSCE/ODHIR confirmed that "the projects are perfectly responding to their needs and therefore very relevant".

46.3. Complementary actions

Descriptions of activities foreseen for projects in the region have been thoroughly consulted in order to create synergies and to avoid any duplication of actions. Full complementarity with these projects shall be sought.

47. DESCRIPTION

47.1. Objectives

• Promotion of human rights education and development of civil society

- teaching about human rights; strengthening the capacity of civil society and other education professionals across the region to promote the inclusion of human rights within formal and informal education programmes; promoting cross-regional networking between NGOs involved in human rights education
- training for civil society in strategic planning of their activities aimed at strengthening respect for human rights and democratic principles, including OSCE best practices (Belarus and the region), through the participation in relevant events, incl. outside Belarus and local initiatives inside Belarus, to promote changes.

• Increased participation of women in democratic governance

- increasing the awareness and capacity of civil society and government structures to promote equality of rights and opportunities
- building co-operation among civil society, state structures and political parties to advance the participation of women in policy-making processes at national and local levels
- promoting awareness and capacity of law-enforcement agencies in preventing and combating domestic violence
- setting up a framework for regional networking between NGOs promoting equality of rights and opportunities in their countries
- training on designing projects to raise awareness of the importance of women in effective democratic governance, including OSCE best practices (regional).

• Capacity building for improved democratic governance

- strengthening local capacity for political research and analysis to increase its impact on policy makers and strengthening democratic practices within the political framework through the democratic institutional development of political parties.

Exchanges with networks which benefited from financing in similar successful projects in South Caucasus and Central Asia will constitute a transfer of experiences that would be particularly fruitful and enriching.

47.2. Expected results and main activities

- Increased number and quality of available resources in teaching about human rights in the region and skills in developing educational materials on human rights; greater exchange of experiences and resources between the countries in the region in teaching about human rights in different settings.
- More opportunities for independent civil society across the region to maintain and strengthen its capacity, by establishing networks of NGOs working in human rights across the region.
- Strengthened leadership skills among women leaders and civil society organizations at national and grassroots levels to lobby for gender-equality

policies; increased capacity among women members of political parties and elected women officials in policy-making processes at local and national levels.

- Increased awareness and expertise among governance structures and civil society about equality of rights and opportunities among women and men.
- Increased analytical research capacity and awareness of political parties of their own potential and need for democratic reform.
- A comprehensive report for democracy-workers as a basis for a multi-year assistance programme in democratic institutional development of political party structures based on their own needs assessment.
- Enhanced project design skills, knowledge of best practices and funding sources, leading to more effective use of international donors' funding.

47.3. Stakeholders

Target Beneficiaries are, inter alia:

Civil society representatives, local, national and international NGOs and organisations, political parties, media, journalists, academic/political think-tanks, students, local and national state structures, government officials.

47.4. Risks and assumptions

Changes in the socio-political environment.

Inability of NGOs to participate in training, due to a hardening of the political environment.

Insufficient willingness among state authorities to co-operate with each other and with civil society.

47.5. Crosscutting Issues

The educational material prepared for schools shall provide concrete skills and promote values of rights and freedoms for children (girls and boys) of higher grades in secondary schools as well as in the non-formal setting. These themes will also be a particular focus during training and other events in the project.

The project will promote women's equal participation in the government of their affairs and lobby for an increased role of women in decision making at all levels of society. It will specifically target women in elected offices/political parties/civil society organisations, increasing their leadership and political skills. It will contribute to greater awareness (including media coverage) of gender equality issues in political, social and economic fields and of necessary reforms for promoting gender equality in public and private lives.

In Ukraine, a specific component will focus on women; in Belarus and Moldova all activities will be gender mainstreamed. Children, particularly girls, will also benefit indirectly from the focus of this project on women's rights.

48. IMPLEMENTATION ISSUES

48.1. Implementation method

The implementation method will be Joint Management with the OSCE/ODIHR through the signature of a standard contribution agreement with an international organisation as laid down in the Practical Guide to contract procedures for EC external actions.

48.2. Procurement and grant award procedures

All contracts implementing the action must be awarded and implemented in accordance with the procedures and standard documents laid down and published by the International Organisation concerned.

4.3. Budget and calendar

Estimated total cost: €770,000.

EC maximum contribution: €600,000

This Action will be financed by budget line 19 04 01.

The activities will start in 2008. The project will have an indicative initial duration of 24 months.

Any remaining balance from this action may be reallocated to the budget of another action, preferably within the same strategic objective.

4.4. Performance monitoring

The project will be monitored according to standard procedures.

Project monitoring and evaluation will be based on periodic assessment of progress on delivery of specified project results and towards achievement of project objectives.

Particular attention will be given to the log frame annexed to the contract which will be regularly revised, taking into account the various changes of and adaptations to the local context.

Overview of key indicators measuring progress:

- increased influence and visibility of women's networks, civil society coalitions in national policy-making processes
- increased number of policy initiatives by central and local government bodies, parliaments, for promoting equality of rights and opportunities policy documents, action plans, relevant legislation adopted
- increased influence and visibility of women's networks, civil society coalitions in national policy-making processes; increased number of women in various government bodies, particularly at municipal and regional levels

4.5. Evaluation and audit

The project will be evaluated and audited according to standard procedures.

4.6. Communication and visibility

Visibility of EU funded action will be ensured according to the EU Visibility Guidelines.

49. **IDENTIFICATION**

Title	Indicative Title "NEEDS III (Network for Enhanced Electoral and Democracy Support)" Project		
Total cost	€5,3 million (100% EC contribution)		
Aid method / Management mode	Project approach – centralised - Direct Management		
DAC-code	15161	Sector	Elections

50. RATIONALE

50.1. Sector context

Deployment of Elections Observation Missions (EOMs) in third countries, as well as electoral assistance, is an effective way to contribute to building confidence and enhancing the reliability and transparency of democratic electoral processes and to discourage irregularities, abuse and electoral violence. It also provides indications on how to develop a political dialogue with the concerned country. In this context, training of observers and technical assistance to domestic civil organisations are to be addressed.

50.2. Lessons learnt

Assessment of the former NEEDS I and II projects has shown that training of potential EU observers was a useful achievement, to be continued and extended to other EU EOM working languages (English, French, Spanish), and to be focused stronger on Core Team experts training; this should include guidance to EOMs and the Commission services on the systematic assessment of the accordance of election processes with international standards for democratic elections. Assistance to domestic observers associations should be improved through close collaboration with selected regional partners on the basis of an expertise assessment; the development of EU EOM methodology and tools should be continued; synergy with electoral assistance should be enhanced (without compromising EU EOM independent nature) as well as the follow up to EU Election Observation Missions (EOMs) recommendations

50.3. Complementary actions

EU EOMs as well as the NEEDS project are often complementary to election assistance provided to concerned third countries via EDF or DCI, and actions implemented in the framework of EIDHR projects, and beyond, to actions and programmes aiming at improving democratic control and good governance.

50.4. Donor coordination

Coordination with Members States will be ensured, as in the first NEEDS project, via yearly meetings (Focal Points). Coordination with other donors will be made through linkage with the ACE multidonors project.

51. **DESCRIPTION**

51.1. Objectives

Overall objectives: support to strengthen democratisation, good governance and conflict prevention; contribute to reducing the possibility of fraud, manipulation, intimidation, etc... which could undermine the electoral process, and to improve the legal framework and conduct of future elections.

Specific objective: to provide expertise in order to train (EU and domestic) observers, and to improve existing EU EOMs methodology in relation with international standards for democratic elections; to contribute to the follow-up of EU EOMs recommendations, mainly through civil society organisations; to enhance civil society capacity to monitor elections and post-elections institutional developments.

51.2. Expected results and main activities

Upgrading of the existing NEEDS project, aiming at following main results:

- a reserve of well trained experts for the main EU EOM Core Team expert positions is in place; a significant number of Observers is trained in accordance with assessed needs; training curricula and material is developed in accordance with best training standards.
- civil society groups are active in election observation in third countries through the support of regional partners; they are trained to comply with international standards of election observation in order to ensure proper monitoring of elections, and have benefited from ad-hoc electoral technical assistance; moreover, they will have been trained to promote and overlook the implementation of the EU EOM recommendations:
- The implementation of EU EOMs recommendations have been enhanced through the active promotion by civil society organisations; EU EOM methodology and practice are further standardised; standard mission's procedures, instruments, templates, etc... are regularly updated; Indicative Activities include provision of adhoc short term and long term expertise (election observation, logistics and security, communication, media,...); development of materials (e-learning platform; handbooks, websites, benchmarks, curricula, guidelines); development of observers training curricula (including knowledge of electoral assistance interventions and contribution to follow-up of EU EOMs), organisation of training sessions, seminars, regional domestic observers training and fora; ad-hoc studies, technical assistance to strengthen impact of EU EOMs and domestic observation and synergy between EU EOMs and EU/EC Electoral Assistance interventions, support to Member States Focal Points network

51.3. Stakeholders

EU observers; domestic observers in third countries; civil national and regional organisations in third countries; Member States Focal Points; European Commission (RELEX and AIDCO)

51.4. Risks and assumptions

No specific risk is foreseen in the implementation of this project. Main assumption is that the service provider will be able to access to the relevant European and international expertise for the project implementation.

51.5. Crosscutting Issues

This project is mainly focused on consolidation of governance and human rights in third countries. Gender balance will be looked after in the selection of trainers (experts) and trainees (observers, members of civil society groups...), as well as through raising observer awareness on the issue of participation of women and other discriminated groups in the electoral process. It has no impact on environment.

52. IMPLEMENTATION ISSUES

52.1. Implementation method

Centralised management (direct).

52.2. Procurement and grant award procedures

All contracts implementing the action must be awarded and implemented in accordance with the procedures and standard documents laid down and published by the Commission for the implementation of external operations, in force at the time of the launch of the procedure in question.

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EC external actions.

Full financing (100%) is required.

52.3. Budget and calendar

Indicative budget breakdown (in €):

Long term expertise: 1,550,000
(Director, 3 experts, administration and accounting)
Short term expertise: 2,600,000
(training and technical assistance missions)
Other 1,150,000
(offices, supplies, travels, per diem, printing, e-learning platform...)
Total: 5,300,000

This Action will be financed by budget line 19 04 01.

Procurement procedures to select services provider are expected to start in November 2007; contract award in February 2008. Indicative duration of the project is 3 years.

Any remaining balance from this action may be reallocated to the budget of another action, preferably within the same strategic objective.

52.4. Performance monitoring

Performance monitoring will be achieved through AIDCO monitoring system (ROM - Results Oriented Monitoring. Performance indicators include:

- assessment report of training needs
- numbers of trained experts and observers
- training curricula and material, including e-learning platform
- strategy paper for a common approach by EU Member States to recruitment and training of election observers
- EU EOM pre-mission trainings and guidelines, leading to a standardised conduct of EU EOMs
- increased quality of EU EOM performance and reporting
- EU EOM recommendations tailored to electoral assistance
- EU EOM recommendation follow-up through civil society projects
- institutionalised partnership with regional partners for capacity-building of domestic observers
- training and technical assistance for domestic observer groups through regional partners
- increased quality and presence of civil society groups in election observation in third countries due to training and assistance received
- yearly seminar with EU MS Focal Points
- yearly seminar with international and regional partners on election (observation) standards and best practice
- top level expert panel on election observation established and giving advice on pertinent questions raised by the EC and EU MS through the project.

Quality standards and criteria:

The consultant will be selected based on the quality and experience of their staff. As for the previous project the staff will have experts in election observation and electoral assistance, including capacity-building of and electoral technical assistance for Civil society and Domestic observers organizations.

52.5. Evaluation and audit

Rules applicable to services contracts on evaluation and audits will be respected.

52.6. Communication and visibility

Standard EU rules for communication and visibility will be respected.